

REPRODUCIBILITY OF THE
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1.0 INTRODUCTION

This study was undertaken to determine the feasibility for wheat identification using ERTS-1 data with presently available computerized techniques. Specifically, it was undertaken to find out if wheat is separable from other crops which are typically grown in wheat producing areas.

The site for which this study was undertaken was a selected area in Hill County, Montana. This particular site is representative of typical growing practices in north-western United States. Wheat is grown along with other crops that might be spectrally confused with wheat such as barley, oats and different types of grasses. Both winter and spring wheat are grown. Strip-follow practices are followed in a large portion of the fields in this region. The strips are usually about eighty to three-hundred meters wide.

2.0 TECHNICAL BACKGROUND

This study was accomplished utilizing software presently available at the Lyndon B. Johnson Space Center. The data consisted of system corrected ERTS-1 4-channel MSS data generated at three different dates during the wheat growing season. Ground truth was obtained via ASCS channels.

2.1 Data

Data from the three dates were correlated and registered together to form a single data set with twelve channels. The correlation and registration were done on the Earth Resources Interactive Processing System (ERIPS) using a first degree polynomial for the registration process with approximately thirty common points over the area. The nearest neighbor technique was used for interpolation on the rotated (registered) image. Registration accuracy appears to be better than one pixel.

The following table describes some of the characteristics of the three data sets used:

Scene Number	Date	State of the Crop: Winter Wheat	State of the Crop: Spring Wheat
1304-17461	5/23/73	Early growth to lush growth - varying amounts of bare soil showing between plants.	Early growth - thin growth with bare soil showing between plants.
1339-17400	6/27/73	Dough or milk stage - headed and green.	Lush growth - heavy green growth with little bare soil showing between plants.
1358-17453	7/16/73	Mature growth - ripening, headed - changing color from green.	Dough or milk stage - headed and green.

2.2 Ground Truth

Ground truth was known for the 1973 growing season as follows:

- Detailed ground truth for twelve winter wheat fields 100 to 300 acres in size located south of Fresno reservoir.
- Species only ground truth for eight spring wheat, seven barley, six oats and four grass fields 70 to 150 acres in size.
- Wall to wall ground truth over a two by six (twelve square mile) area north of Fresno reservoir.

2.3 Analysis Approach

The analysis was accomplished using the maximum likelihood criterion, specifically, the interactive version of the LARSYS system of programs implemented on the IBM 360/75 and CDC CYBER 73 system (ERIPS).

Training field statistics (means and standard deviations) were plotted in two dimensions using various combinations of pairs of channels (see figures 1, 2 and 3). Each of these figures consists of a plot of the means and standard deviations for channels two and three of the training fields for each of three passes. Similar plots may be drawn for other pairs of channels; however, it was found that this was not necessary. The two visible channels (channels 1 and 2) are highly correlated to each other and the two infrared channels (channels 3 and 4) are similarly highly correlated. Thus, plots depicting the two visible channels or the two infrared channels convey very little information. Plots of other

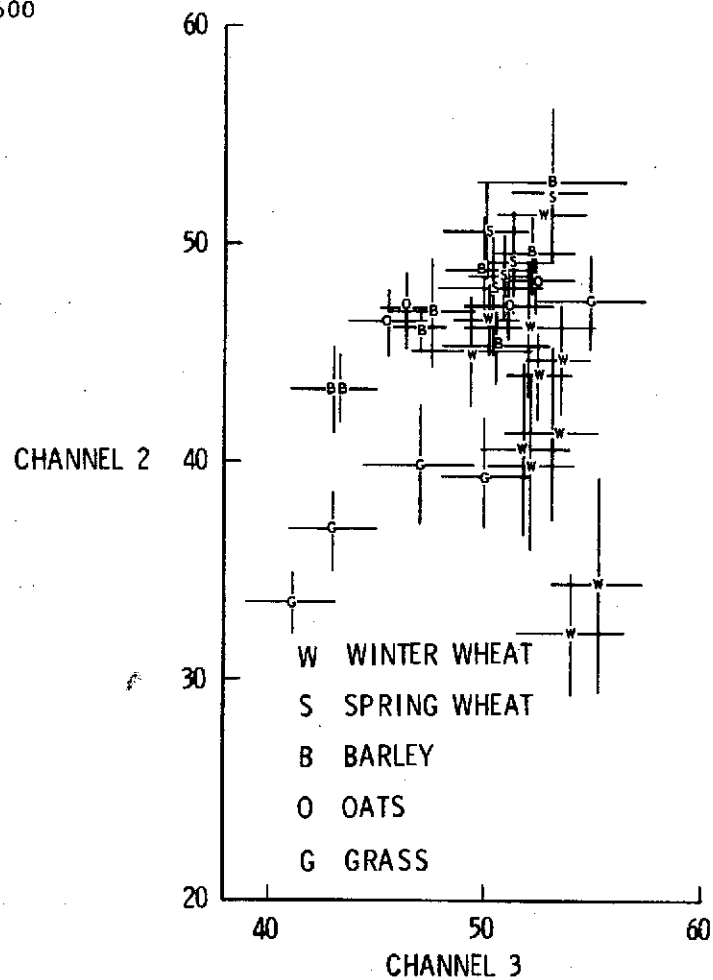


Figure 1. - Means (W, S, B, O, G) and standard deviations for training field data of the May 23 pass.

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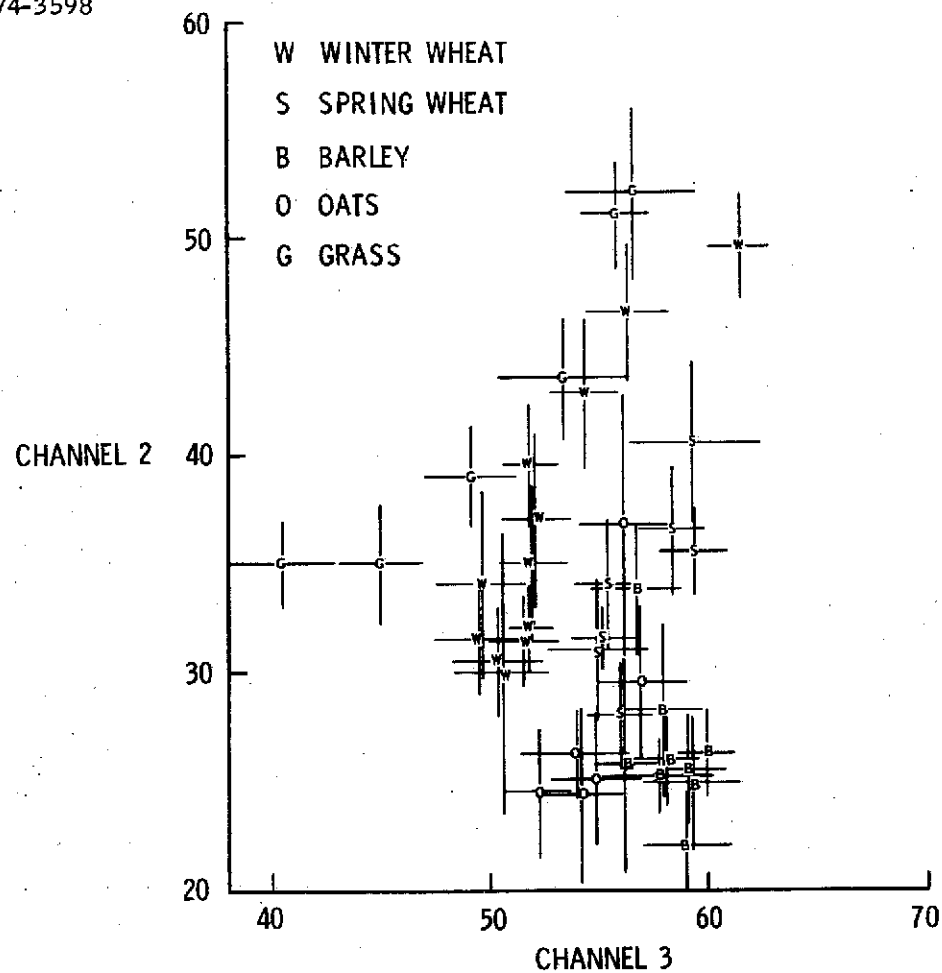
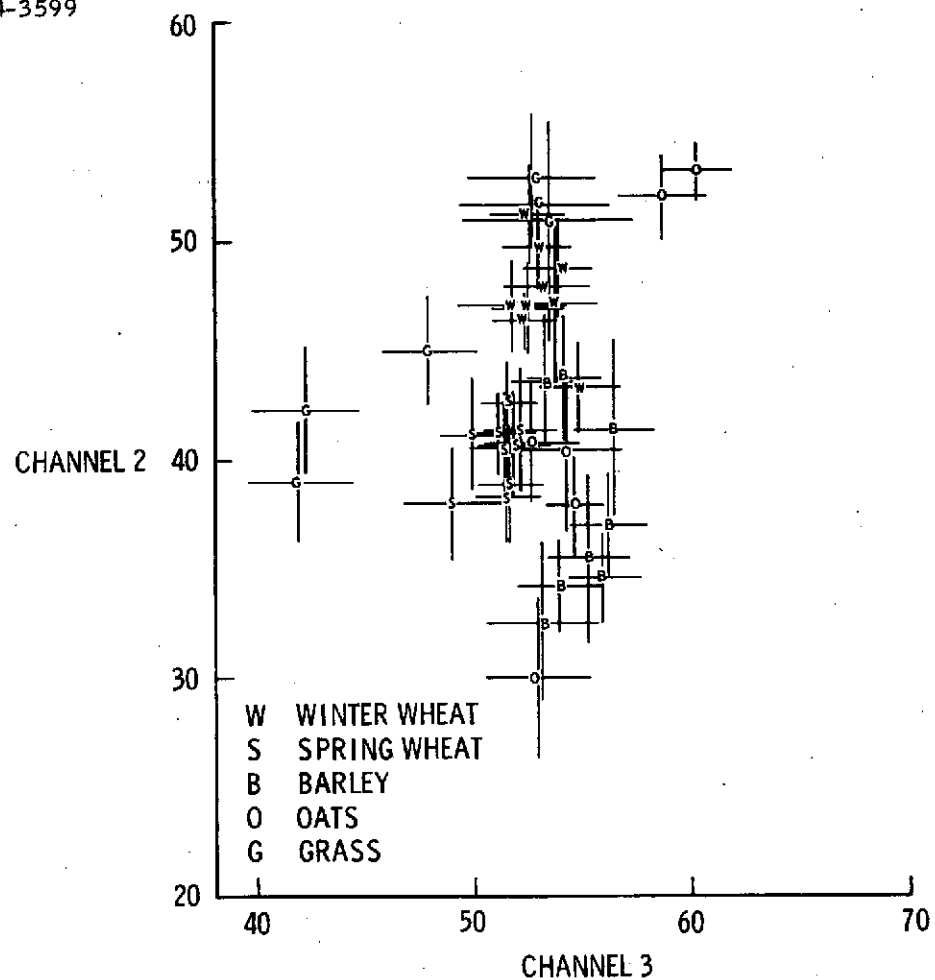


Figure 2. - Means (W, S, B, O, G) and standard deviations for training field data of the June 27 pass.

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Figure 3. - Means (W, S, B, O, G) and standard deviations for training field data of the July 16 pass.

alternates of a visible channel versus an infrared channel look almost the same as the ones shown in the figures. Figures 1, 2 and 3 depict a good view of the structure of the data and aid in the selection of training fields for the various classes. In most cases they indicated the necessity of dividing some classes into subclasses. Training fields were chosen so that there would be at least one representative field for a given volume of feature space in which a particular class occurs.

All training fields in wheat, barley, and oats were selected from the southern portion of the study site, that is, the area south of Fresno reservoir, and specifically outside of the 2 x 6 mile intensive study area. Training fields for sod, crested wheat grass, summer fallow and stubble were selected from within the intensive study area because no other ground truth was available to represent these classes.

The various crops and other categories were assigned to classes and subclasses as follows: Training fields for winter wheat were divided into two subclasses. Two of these training fields were assigned, part to the first and part to the second subclass on the basis that the data from these particular fields were definitely bi-modal in their distribution. Spring wheat was also divided into two subclasses. One of the training fields was assigned part to the first and part to the second subclass. Barley and oats were each divided into two subclasses. Grass (including crested wheat grass) was divided into four subclasses. Furthermore, there were several other classes to include summer fallow fields, stubble (bare soil) and water.

Classification runs were made for each of the single passes, all three combinations of two passes, and all three passes. Classification runs for each of the single passes were completed using all four channels. Classification runs for combinations of two passes were completed using all eight channels. Classification runs for the three-pass case were completed using all twelve channels and using the best six and the best eight channels according to the average divergence criterion. For comparison purposes, the same training and test fields were used for all runs. Acreage estimates for wheat were computed by pixel counting inside the intensive study area where all wheat fields are known.

3.0 RESULTS

The results of classification runs are shown in Tables 1 through 9 and summarized in graphical form in figures 4 and 5. The tables depict classification accuracy for training and test fields of wheat (winter wheat and spring wheat taken together), winter wheat and spring wheat taken separately, oats, barley and grass. There were eight training and six test fields for winter wheat, five training and four test fields for spring wheat, four training and two test fields for oats, six training and four test fields for barley and six training and no test fields for grass. The same fields were used for all nine runs.

Acreage measurements of the area sown to wheat are shown in Table 10. The acreage measurement was completed by outlining the boundary of the 2 x 6 mile intensive study area and computing the number of pixels per acre from the total number of pixels classified as wheat inside the outlined section. The accuracy figures are then roughly independent from the accuracy to which it is possible to outline the area.

TABLE 1.- CLASSIFICATION ACCURACIES, MAY 23 PASS - ALL FOUR CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	808	48	32	258	67.6	4.0	2.7	21.6
	TST	634	437	16	31	146	68.9	2.5	4.9	23.0
Winter Wheat	TRG	858	590	9	19	234	68.7	1.0	2.2	27.3
	TST	379	250	1	6	122	66.0	.2	1.6	32.2
Spring Wheat	TRG	337	218	39	13	24	64.7	11.5	3.8	7.1
	TST	255	187	15	25	24	73.4	5.9	9.8	9.4
Oats	TRG	182	61	46	4	10	33.5	25.2	2.2	5.5
	TST	68	43	5	2	12	63.2	7.3	2.9	17.6
Barley	TRG	305	112	33	70	28	36.7	10.8	22.9	9.2
	TST	186	52	31	11	12	28.0	16.6	5.9	6.4
Grass	TRG	439	40	7	16	273	9.1	1.6	3.6	62.2

TABLE 2.- CLASSIFICATION ACCURACIES, JUNE 27 PASS - ALL FOUR CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1032	79	30	30	86.4	6.6	2.5	2.5
	TST	634	558	32	16	23	88.0	5.1	2.5	3.6
Winter Wheat	TRG	858	774	32	0	29	90.2	3.7	0	3.4
	TST	379	344	12	0	23	90.7	3.1	0	6.1
Spring Wheat	TRG	337	258	47	30	1	76.6	13.9	8.9	.3
	TST	255	214	20	16	0	83.9	7.8	6.3	0
Oats	TRG	182	54	94	34	0	29.6	51.7	18.7	0
	TST	68	20	43	5	0	29.4	63.2	7.3	0
Barley	TRG	305	52	50	199	0	17.0	16.4	65.3	0
	TST	186	32	32	122	0	17.2	17.2	65.6	0
Grass	TRG	439	39	1	0	387	8.9	.2	0	88.3

TABLE 3.- CLASSIFICATION ACCURACIES, JUNE 16 PASS. - ALL 4 CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	993	60	77	59	83.4	5.0	6.4	4.9
	TST	634	511	31	13	67	80.6	4.9	2.1	10.6
Winter Wheat	TRG	858	710	34	55	56	82.8	4.0	6.4	6.4
	TST	379	302	5	3	58	79.7	1.3	0.8	15.3
Spring Wheat	TRG	337	283	26	20	3	84.0	7.7	5.9	0.9
	TST	255	209	26	10	9	81.9	1.0	3.0	3.0
Oats	TRG	182	22	101	68	9	12.1	55.5	37.4	4.9
	TST	68	1	34	21	5	1.5	50.0	30.9	7.4
Barley	TRG	305	68	34	133	3	22.3	11.1	43.6	1.0
	TST	186	32	33	117	20	17.2	17.7	62.9	10.8
Grass	TRG	439	9	3	1	385	2.1	0.7	0.2	87.7

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TABLE 4.- CLASSIFICATION ACCURACIES, MAY 23 AND JUNE 27 PASSES - ALL 8 CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1125	31	29	10	94.2	2.6	2.4	.8
	TST	634	539	17	46	21	85.1	2.7	7.3	3.3
Winter Wheat	TRG	858	841	5	3	9	98.0	.6	.3	1.1
	TST	379	350	0	2	18	92.4	0	.5	4.7
Spring Wheat	TRG	337	284	26	26	1	84.3	7.7	7.7	.3
	TST	255	189	17	44	3	74.2	6.7	17.3	1.1
Oats	TRG	182	18	153	11	0	10.0	84.0	6.0	0
	TST	68	12	47	9	0	17.7	69.1	13.2	0
Barley	TRG	305	46	30	229	0	15.1	9.8	75.1	0
	TST	186	9	81	96	0	4.8	43.6	51.6	0
Grass	TRG	439	6	0	0	429	1.3	0	0	97.8

TABLE 5.— CLASSIFICATION ACCURACIES, MAY 23 AND JULY 16 PASSES - ALL 8 CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1087	34	37	33	91.2	2.8	3.1	2.7
	TST	634	558	3	20	51	88.1	.5	3.1	8.1
Winter Wheat	TRG	858	796	5	22	33	92.8	.6	2.5	3.8
	TST	379	327	2	2	48	86.3	.5	.5	12.6
Spring Wheat	TRG	337	291	29	15	0	86.3	8.6	4.4	0
	TST	255	231	1	18	3	90.6	.4	7.1	1.2
Oats	TRG	182	5	152	21	2	2.7	83.5	11.5	1.1
	TST	68	6	34	26	2	8.8	50.0	38.2	2.9
Barley	TRG	305	49	33	222	0	16.1	10.8	72.8	0
	TST	186	24	79	78	5	12.9	42.5	41.9	2.7
Grass	TRG	439	2	1	0	426	.4	.2	0	97.0

TABLE 6.— CLASSIFICATION ACCURACIES, JUNE 27 AND JULY 16 passes - ALL 8 CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1119	35	22	19	93.7	2.9	1.8	1.6
	TST	634	566	29	14	21	89.3	4.5	2.2	3.3
Winter Wheat	TRG	858	819	14	7	18	95.4	1.6	.8	2.1
	TST	379	350	1	9	19	92.3	.2	2.4	5.0
Spring Wheat	TRG	337	300	21	15	1	89.1	6.2	4.4	.3
	TST	255	216	28	5	2	84.7	11.0	1.9	8.0
Oats	TRG	182	14	138	28	0	7.7	75.8	15.4	0
	TST	68	3	52	13	0	4.4	76.5	19.1	0
Barley	TRG	305	30	29	246	0	9.8	9.5	80.6	0
	TST	186	16	46	124	0	8.6	24.7	66.6	0
Grass	TRG	439	1	3	0	429	.2	.7	0	98.0

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TABLE 7.- CLASSIFICATION ACCURACIES, JUNE 27 AND JULY 16 PASSES - BEST 6 CHANNELS OUT OF 12

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1120	20	12	33	93.8	1.7	1.0	2.8
	TST	634	588	6	7	30	92.8	.9	1.1	4.8
Winter Wheat	TRG	858	816	6	5	31	95.2	.7	.5	3.6
	TST	379	354	0	0	25	93.4	0	0	6.6
Spring Wheat	TRG	337	304	14	17	2	90.2	4.1	5.0	.6
	TST	255	234	6	7	5	91.8	2.3	2.7	2.0
Oats	TRG	182	3	164	15	0	1.6	90.2	8.2	0
	TST	68	1	57	10	0	1.5	83.8	14.7	0
Barley	TRG	305	17	34	254	0	5.6	11.1	83.3	0
	TST	186	17	68	101	0	9.1	36.5	54.3	0
Grass	TRG	439	10	0	0	424	2.3	0	0	96.6

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TABLE 8.- CLASSIFICATION ACCURACIES, MAY 23, JUNE 27 and JULY 16 - BEST 8 CHANNELS OUT OF 12

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1149	13	19	7	96.1	1.1	1.6	.6
	TST	634	580	4	18	31	91.5	.6	2.3	4.9
Winter Wheat	TRG	858	842	0	4	7	98.2	0	0.5	.8
	TST	379	352	0	0	27	92.9	0	0	7.1
Spring Wheat	TRG	337	307	13	15	0	91.2	3.9	4.4	0
	TST	255	228	4	18	4	89.5	1.5	7.1	1.5
Oats	TRG	182	3	168	6	0	1.6	92.4	3.3	0
	TST	68	1	53	14	0	1.5	72.9	20.6	0
Barley	TRG	305	11	31	263	0	3.6	9.8	86.3	0
	TST	186	7	60	119	0	2.6	31.0	64.0	0
Grass	TRG	439	3	0	0	433	.6	0	0	98.7

TABLE 9.— CLASSIFICATION ACCURACIES, MAY 23, JUNE 27 AND JULY 16 - ALL 12 CHANNELS

	Type of Fields	Total Number of Pixels	Number of Pixels Wheat	Number of Pixels Oats	Number of Pixels Barley	Number of Pixels Grass	Percent Wheat	Percent Oats	Percent Barley	Percent Pixels Grass
Wheat	TRG	1195	1166	12	12	3	97.6	1.0	1.0	.3
	TST	634	590	8	0	17	93.1	1.2	0	2.7
Winter Wheat	TRG	858	847	4	4	3	98.7	.4	.4	.3
	TST	379	363	2	0	14	95.7	.5	0	3.7
Spring Wheat	TRG	337	319	8	8	0	94.7	2.4	2.4	0
	TST	255	227	6	17	3	89.0	2.3	6.7	1.2
Oats	TRG	182	2	167	13	0	1.1	91.7	7.1	0
	TST	68	4	52	12	0	5.9	76.5	17.6	0
Barley	TRG	305	9	24	272	0	2.9	7.8	89.1	0
	TST	186	9	66	111	0	4.8	35.4	59.7	0
Grass	TRG	439	2	0	0	435	.4	0	0	99.2

TABLE 10.— ACREAGE ESTIMATE FOR THE HILL COUNTY NORTH 2 × 6 MILE INTENSIVE STUDY AREA

	PIXEL COUNT			ACREAGE			PERCENT ACCURACY		
	Spring Wheat	Winter Wheat	Wheat	Spring Wheat	Winter Wheat	Wheat	Spring Wheat	Winter Wheat	Wheat
Actual Acreage	n.a.	n.a.	n.a.	516	1806	2322	n.a.	n.a.	n.a.
May 23 Pass	531	760	1291	600	859	1459	84	48	62
June 27 Pass	502	1808	2310	567	2042	2609	90	87	88
July 16 Pass	322	1345	1667	363	1520	1883	70	84	81
May 23 and June 27	442	1485	1927	499	1679	2178	97	93	93
May 23 and July 16	345	1194	1539	390	1350	1740	76	75	75
June 27 and July 16	339	1466	1805	383	1657	2040	74	92	87
May 23, June 27 and July 16 (best 6 channels out of 12)	395	14	1805	446	1681	2127	86	93	91
May 23, June 27 and July 16 (best 8 channels out of 12)	395	1466	1861	446	1658	2104	86	92	90
May 23, June 27 and July 16 (all channels)	402	1561	1963	454	1764	2218	88	98	95

TRAINING FIELDS

PERCENT
PIXELS
CLASSIFIED
AS WHEAT

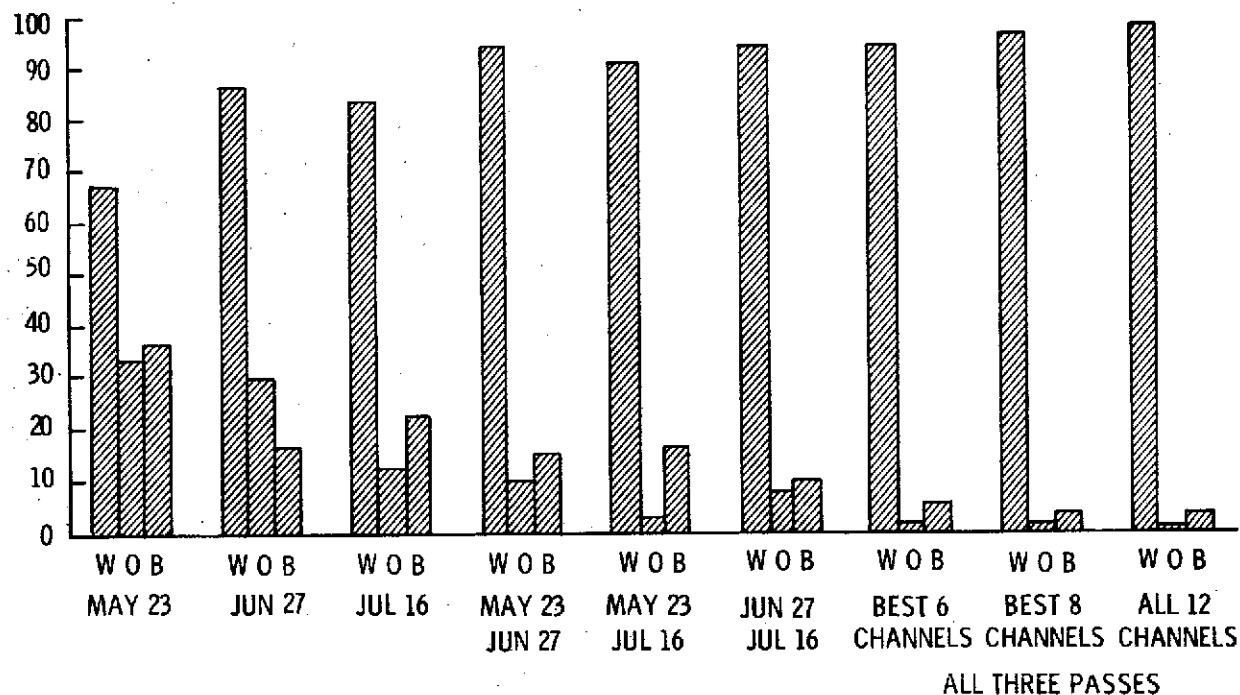


Figure 4. - Percent classification accuracy for wheat (W) training fields. Percent pixels of oats (O) and barley (B) training fields misclassified as wheat.

TEST FIELDS

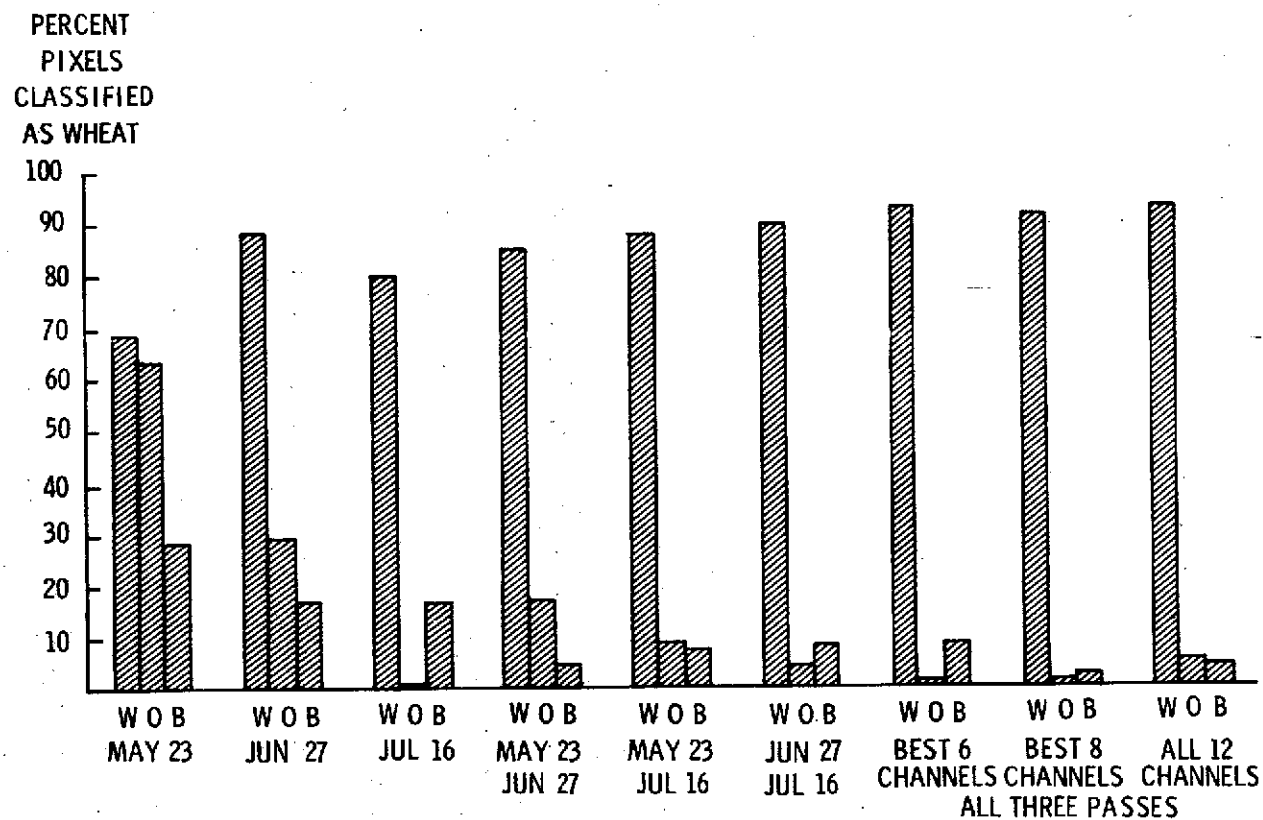


Figure 5. - Percent classification accuracy for wheat (W) test fields.
Percent pixels of oats (O) and barley (B) test fields
misclassified as wheat.

4.0 DISCUSSION OF RESULTS

In general, the results indicate that the data contains the necessary information to separate wheat from barley, oats and the different types of grasses. Classification accuracies for wheat test fields ranged from 88 percent for the best single pass data set to 93 percent for the best three-pass data set. On the other hand, misclassification of barley and oats into wheat range from 20 percent to 30 percent for the best single pass data set, 10 percent to 20 percent for the two-pass set to less than 10 percent for the three-pass data set.

It should be pointed out that these classification accuracies are for those fields for which ground truth is available. There is no intent to claim that these figures represent the accuracy to which it is possible to identify wheat. In the present study, the sample of fields is too small (twelve for winter wheat, less for other classes) to compute statistically reliable accuracy figures. The reason for this is that the variation of spectral signatures for the different fields of each class is too large to be represented by such a small sample. Specifically, the scatter in feature space of data from different fields of any one crop is three to ten times greater than typical variances of data from single fields (see figures 1, 2 and 3).

The problem is further compounded by the fact that the distribution of the data in feature space is not known. Specifically, it is not normal and in some instances it is very complex in configuration. Furthermore, data from the various confusion crops (i.e. the small grains) lie

typically very close to one another in feature space and in some cases they are heavily overlapped. Therefore, a random selection of training fields from among the few available would not have yielded statistically significant results. Fields were in fact randomly selected in the initial stages of the analysis. Typical classification accuracies ranged between 60 percent to 90 percent but were not self consistent when changing training data around. A significant improvement was obtained when an effort was made to have at least one training field per variety in feature space of each class. This was done by observation of the two dimensional plots such as the ones shown in figure 1, 2 and 3.

Further improvement in classification accuracy was obtained by dividing some of the classes into subclasses, each of which was represented by a single multivariate normal function. It should be noted that typically only two to five fields were used to determine the parameters of each of the normals that represent each subclass. A total of between four to eight fields were used to determine what amounts to an unknown data distribution function for each of the classes (i.e. crops).

Test fields were chosen from the remaining fields as well as from those that were considered to be too small for training purposes. This procedure does bias the test field classification accuracy figures since some of the test fields were certainly not randomly selected. Probably, the best interpretation for the accuracy figures given here is as an upper limit to the accuracy to which it is possible to separate wheat from other crops, but only for those fields for which ground truth was available.

A limited signature extension experiment was performed by taking training data from the southern portion of the study site whenever possible. Specifically, training data of wheat, barley and oats were selected from south of Fresno reservoir. The success of the experiment was judged by analyzing the results of classification on the 2 x 6 mile intensive study area which lies north of the reservoir. This makes all training fields in these four classes between 6 to 14 miles away from the intensive study site. The result of this effort is inconclusive although there are indications that the problem of signature extension should be the subject of further and more intensive study. Some fields in the intensive study area were classified correctly to a high accuracy. On the other hand, there were at least three winter wheat fields in the northern area that were partially misclassified as oats or barley or classified, perhaps by chance, as spring wheat. The spectral response of these fields is definitely different from that of the twelve fields south of the reservoir (see winter wheat field on the upper right hand corner of figure 2). It is difficult at this point to assess the severity of this problem other than to point out that it occurred for three distinct fields out of a total of some twenty known winter wheat fields north of the reservoir. It cannot be concluded at this time whether the difference in spectral response is statistical in nature or a result of different conditions that occur only north of the reservoir, or for that matter, in any other area ten to fifteen miles away from the training data.

5.0 CONCLUSIONS AND RECOMMENDATIONS

This investigation attempted to determine the feasibility for wheat identification in a section of Hill County, Montana using ERTS data. In doing this, it evaluated the utility of presently available systems and methods. The following summarizes the extent to which this objective was achieved:

- It was found that wheat can be separated from other crops with a classification accuracy of roughly 90 percent or better and with a maximum misclassification error of other crops into wheat of 10 percent using two or three registered data sets.
- The best single data set occurs after wheat is fully headed and before it turns yellow. Classification accuracy for test fields in this case was 88 percent and misclassification of other crops into wheat was 30 percent for oats and 20 percent for barley.
- The best overall performance was obtained using the three-pass data set using the best 8 and all 12 channels. Classification accuracy for test fields in these runs is about 93 percent and misclassification of other crops into wheat is about 2 percent to 6 percent for oats and 4 percent for barley.
- For any one crop, the configuration of the distribution of the data in feature space is highly complex, definitely non-normal and otherwise not predictable at the present time. The scatter of the data is always greater between different fields of the same crop than within individual fields. Therefore, the practice of using two to five fields (i.e., samples) per crop to train the classifier

is at best questionable. This is particularly true if the same set of fields are to be used to classify a large area. Further research into the minimum sample to represent the distribution of the data of any one crop is necessary.

- The question of signature extension has not been answered. More research has to be done in this area to find how far it is possible to use a set of training data or, otherwise find relevant corrections that may be applied to the data in order to be able to do so. In fact, there should be an answer to this problem before a decision is made regarding the minimum sample size (ground truth) necessary to classify large areas.